

## CHILDREN'S COURT INITIATIVE CHIPS COURT FILE REVIEW INSTRUMENT

### General Case Information

		Notes/Comments
1. CHIPS Case Number:		
2. Child's Initials:		
3. Date of Birth:	_____ / _____ / _____	
4. Date CHIPS Petition Filed:	_____ / _____ / _____ <input type="checkbox"/> Can't determine, not file stamped <input type="checkbox"/> No petition found in the file ( <i>move to Question 6</i> )	
5. According to the CHIPS petition, is the child subject to ICWA?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Unascertainable <input type="checkbox"/> 4 = Nothing checked/indicated	

### Child's Removal

6. Was child removed from his/her home?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No ( <i>move to Question 16</i> ) <input type="checkbox"/> 3 = Can't determine ( <i>explain</i> )	
7. Date of removal:	_____ / _____ / _____ <input type="checkbox"/> Can't determine ( <i>explain</i> )	
8. Date of associated TPC Hearing: ( <i>if removal occurred at a different hearing type, such as disposition or change of placement, still answer this question and Questions 12-15</i> )	_____ / _____ / _____ <input type="checkbox"/> No indication hearing ever occurred ( <i>explain</i> ) <input type="checkbox"/> Can't determine date ( <i>explain</i> ) <input type="checkbox"/> Occurred at different hearing type: _____	Name of judicial officer:
9. Was GAL or adversary counsel for the child present at the TPC hearing?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't Determine <input type="checkbox"/> 4 = N/A	
10. Temporary physical custody order used:	<input type="checkbox"/> 1 = Standard form ( <i>JD-1711, 09/02</i> ) <input type="checkbox"/> 2 = Standard form, but old version <input type="checkbox"/> 3 = Non-standard form <input type="checkbox"/> 4 = No order found in the file ( <i>answer Question 11, then move to Question 16</i> ) <input type="checkbox"/> 5 = N/A (placed back with parent) ( <i>move to Question 16</i> )	

11. Was the order filed within 30 days?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't Determine	<i>Date filed:</i> ____ / ____ / ____
12. Was <b>contrary to the welfare</b> finding made on the written order?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = N/A (child placed with parent) <i>(move to Question 16)</i>	
13. Was the <b>contrary to the welfare</b> finding on the written order sufficiently detailed, child-specific?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No	
14. Was there a <b>reasonable efforts to prevent removal</b> finding made on written order?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No	
15. Was the <b>reasonable efforts to prevent removal</b> finding on the written order sufficiently detailed, child-specific?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No	

### Disposition

16. Was a fact-finding hearing (partial or full) conducted in the CHIPS case?	<input type="checkbox"/> 1 = Yes, to the court <input type="checkbox"/> 2 = Yes, to a jury <input type="checkbox"/> 3 = No <input type="checkbox"/> 4 = Can't Determine	
17. Date the court report filed:	____ / ____ / ____ <input type="checkbox"/> Can't determine, not file stamped <input type="checkbox"/> No court report found in the file <input type="checkbox"/> N/A (consent decree, dismissed, still pending)	
18. Date of CHIPS dispositional hearing:	____ / ____ / ____ <input type="checkbox"/> Case dismissed prior to disposition: ____ / ____ / ____ <i>(move to Question 31)</i> <input type="checkbox"/> Case still pending, hasn't occurred yet <i>(move to Question 31)</i>	
19. Was notice of the dispositional hearing given/sent to the <b>mother</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (deceased, already TPR) <input type="checkbox"/> 5 = Address Unknown	
20. Was notice of the dispositional hearing given/sent to the <b>father</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (deceased, already TPR, name father unknown) <input type="checkbox"/> 5 = Address Unknown	

21. Was notice of the dispositional hearing given/sent to the <b>caregiver</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A	
22. Was notice of the dispositional hearing given/sent to the <b>tribe</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A	
23. Did the GAL or adversary counsel for the child appear at disposition for the child?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A	
24. Dispositional order used:	<input type="checkbox"/> 1 = Standard dispo. form ( <i>JC-1611, 6/03</i> ) <input type="checkbox"/> 2 = Standard dispo. form, but old version <input type="checkbox"/> 3 = Standard consent decree form ( <i>JD-1784, 06/03 or JC-1785, 06/03</i> ) <input type="checkbox"/> 4 = Standard consent decree form, but old version <input type="checkbox"/> 5 = Non-standard form <input type="checkbox"/> 6 = No order found in the file ( <i>answer Question 26, then move to Question 31</i> )	
25. According to the CHIPS dispositional order, the provisions of ICWA?	<input type="checkbox"/> 1 = Do not apply <input type="checkbox"/> 2 = Have been followed <input type="checkbox"/> 3 = Nothing checked/indicated <input type="checkbox"/> 4 = N/A (consent decree)	
26. Was the order filed within 30 days?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't Determine	<i>Date filed:</i> _____/_____/_____
27. Was <b>contrary to the welfare</b> finding made on written order?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = N/A (child placed with parent) <i>(move to Question 31)</i>	
28. Was the <b>contrary to the welfare</b> finding on the written order sufficiently detailed, child-specific?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No	
29. Was there a <b>reasonable efforts to prevent removal</b> finding made on written order?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No	
30. Was the <b>reasonable efforts to prevent removal</b> finding on the written order sufficiently detailed, child-specific?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No	

## Permanency Reviews and Hearings

<p>31. Did the child have at least one permanency plan review or hearing?</p> <p>Please note the date the child was actually removed from the home, if earlier than date in Question 7 (VPA, a different case, etc.).</p>	<p><input type="checkbox"/> 1 = Yes</p> <p><input type="checkbox"/> 2 = No, never placed out of home or placed out of home less than 6 months (<i>move to Question 44</i>)</p> <p><input type="checkbox"/> 3 = No, and placed out of the home longer than 6 months (<i>explain</i>) (<i>move to Question 44</i>)</p>	<p>Removal: _____</p> <hr/> <p>6 months: _____</p> <p>12 months: _____</p>
<p>32a. Was the permanency plan reviewed within 6 months of removal?</p>	<p><input type="checkbox"/> 1 = Yes</p> <p><input type="checkbox"/> 2 = No ( # days late: _____)</p> <p><input type="checkbox"/> 3 = Can't determine</p>	<p>Date occurred:</p> <p>_____ / _____ / _____</p>
<p>32b. How was the review conducted?</p>	<p><input type="checkbox"/> 1 = Court</p> <p><input type="checkbox"/> 2 = Panel, summary filed within 30 days</p> <p><input type="checkbox"/> 3 = Panel, summary filed after 30 days</p> <p><input type="checkbox"/> 4 = Panel, no summary found in the file</p> <p><input type="checkbox"/> 5 = Can't determine if court or panel</p>	
<p>33a. Was a permanency plan review hearing/review conducted within 12 months of removal?</p>	<p><input type="checkbox"/> 1 = Yes</p> <p><input type="checkbox"/> 2 = No ( # days late: _____)</p> <p><input type="checkbox"/> 3 = Can't determine</p>	<p>Date occurred:</p> <p>_____ / _____ / _____</p>
<p>33b. How was the review conducted?</p>	<p><input type="checkbox"/> 1 = Court</p> <p><input type="checkbox"/> 2 = Panel, summary filed within 30 days</p> <p><input type="checkbox"/> 3 = Panel, summary filed after 30 days</p> <p><input type="checkbox"/> 4 = Panel, no summary found in the file</p> <p><input type="checkbox"/> 5 = Can't determine if court or panel</p>	
<p>34. Date of <b>most recent</b> permanency plan <b>hearing</b>:</p>	<p>_____ / _____ / _____</p> <p><input type="checkbox"/> None occurred (<i>move to Question 44</i>)</p>	
<p>35. Was notice of the permanency plan hearing given/sent to the <b>mother</b>?</p>	<p><input type="checkbox"/> 1 = Yes</p> <p><input type="checkbox"/> 2 = No</p> <p><input type="checkbox"/> 3 = Can't determine</p> <p><input type="checkbox"/> 4 = N/A (deceased, already TPR)</p> <p><input type="checkbox"/> 5 = Address unknown</p>	
<p>36. Was notice of the permanency plan hearing given/sent to the <b>father</b>?</p>	<p><input type="checkbox"/> 1 = Yes</p> <p><input type="checkbox"/> 2 = No</p> <p><input type="checkbox"/> 3 = Can't determine</p> <p><input type="checkbox"/> 4 = N/A (deceased, already TPR, name of father unknown)</p> <p><input type="checkbox"/> 5 = Address unknown</p>	
<p>37. Was notice of the permanency plan hearing given/sent to the <b>caregiver</b>?</p>	<p><input type="checkbox"/> 1 = Yes</p> <p><input type="checkbox"/> 2 = No</p> <p><input type="checkbox"/> 3 = Can't determine</p> <p><input type="checkbox"/> 4 = N/A</p>	

38. Was notice of the permanency plan hearing given/sent to the <b>tribe</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A	
39. Did GAL or adversary counsel for the child appear at the most recent permanency plan hearing?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A	
40. Permanency plan hearing order used:	<input type="checkbox"/> 1 = Standard form ( <i>JD-1791, 09/02</i> ) <input type="checkbox"/> 2 = Non-standard form <input type="checkbox"/> 3 = No order found in the file ( <i>answer Question 41, then move to Question 44</i> )	
41. Was the order filed within 30 days?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't Determine	<i>Date filed:</i> _____ / _____ / _____
42. Was there a <b>reasonable efforts to achieve goal(s) of permanency plan</b> finding made on written order?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No	
43. Was the <b>reasonable efforts to achieve goal(s) of permanency plan</b> finding on written order sufficiently detailed, child-specific?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No	

### **Change of Placement Requests and Hearings**

44. Number of changes in placement that <b>after disposition:</b> ( <i>If zero, move to Question 47.</i> ) ( <i>Include all changes of placement, even if done without notice or hearing to the court. Look in most recent permanency plan.</i> )	0	1	2	3	4	5	Total number of changes of placement: _____
45. Did the change of placement occur <b>with</b> notice or hearing to the court?							Total number of "yes" answers: _____
46. Was there an associated order for change of placement in the file?							Total number of "yes" answers: _____
47. Did the agency wait to move the child until after the hearing or 10 days after the notice of change of placement was received? ( <i>If emergency conditions existed, make a note of it &amp; also whether notified court of new placement within 48 hours.</i> )							Total number of "yes" answers: _____

48. Date of <b>most recent</b> change of placement hearing:	____ / ____ / ____ <input type="checkbox"/> None occurred ( <i>move to Question 56</i> )	
49. Was notice of the change of placement hearing given/sent to the <b>mother</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (deceased, already TPR) <input type="checkbox"/> 5 = Address unknown	
50. Was notice of the change of placement hearing given/sent to the <b>father</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (deceased, already TPR, name of father unknown) <input type="checkbox"/> 5 = Address unknown	
51. Was notice of the change of placement hearing given/sent to the <b>caregiver</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A	
52. Was notice of the change of placement hearing given/sent to the <b>tribe</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A	
53. Did GAL or adversary counsel for the child appear at the most recent change of placement hearing?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A	
54. Change of placement order used:	<input type="checkbox"/> 1 = Appropriate standard form ( <i>JD-1789, 6/03; JD-1790, 9/02; JD-1793, 9/05; or JD-1792, 12/02</i> ) <input type="checkbox"/> 2 = Standard form, but old version <input type="checkbox"/> 3 = Non-standard form <input type="checkbox"/> 4 = No order found in the file	
55. Was the order filed within 30 days?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't Determine	<i>Date filed:</i> ____ / ____ / ____

### **Judicial Officers**

56. Number of different judicial officers that presided over the hearings in this CHIPS case:	<input type="checkbox"/> 1 = One <input type="checkbox"/> 2 = Two <input type="checkbox"/> 3 = Three or more	
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### **Continuances/Delay**

57. Were there any continuance or delay issues/patterns that stood out in the CHIPS case? ( <i>If yes, explain.</i> )	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine	
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# CHILDREN'S COURT INITIATIVE

## TPR COURT FILE REVIEW INSTRUMENT

### General Case Information

		Notes/Comments
1. TPR Case Number:		
2. Child's Initials:		
3. Date of Birth:	_____ / _____ / _____	
4. Date TPR petition filed:	_____ / _____ / _____ <input type="checkbox"/> Can't determine, not file stamped <input type="checkbox"/> No petition found in the file ( <i>move to Question 6</i> )	
5. According to the TPR petition, is the child subject to ICWA?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Unknown <input type="checkbox"/> 4 = Nothing checked/indicated	
6. Was notice of the hearing on the petition given/sent to the <b>mother</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (deceased, already TPR)	
7. Was notice of the hearing on the petition given/sent to the <b>father</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (deceased, already TPR, name father unknown)	
8. Was notice of the hearing on the petition given/sent to the <b>caregiver</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (group home, RTC, etc.)	
9. Was notice of the hearing on the petition given/sent to the <b>tribe</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A	
10. Did GAL for the child appear at the hearing on the petition?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine	

### Disposition for the Mother

11. Was a contested fact-finding hearing conducted in the TPR case (Phase 1)?	<input type="checkbox"/> 1 = Yes, to the court <input type="checkbox"/> 2 = Yes, to a jury <input type="checkbox"/> 3 = No <input type="checkbox"/> 4 = Can't Determine	
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12. Date of dispositional hearing:	_____ / _____ / _____ <input type="checkbox"/> Case dismissed prior to disposition: _____ / _____ / _____ <i>(move to Question 21)</i> <input type="checkbox"/> Case still pending, hasn't occurred yet <i>(move to Question 21)</i>	
13. Was notice of the dispositional hearing given/sent to the <b>mother</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (deceased, already TPR)	
14. Was notice of the dispositional hearing given/sent to the <b>father</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (deceased, already TPR, name of father unknown)	
15. Was notice of the dispositional hearing given/sent to the <b>caregiver</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (group home, RTC, etc.)	
16. Was notice of the dispositional hearing given/sent to the <b>tribe</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A	
17. Did GAL for the child appear at the TPR disposition?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine	
18. Order concerning termination of parental rights used:	<input type="checkbox"/> 1 = Standard voluntary form ( <i>JC-1638, 09/02</i> ) <input type="checkbox"/> 2 = Standard vol. form, but old version <input type="checkbox"/> 3 = Standard involuntary form ( <i>JC-1639, 09/02</i> ) <input type="checkbox"/> 4 = Standard involuntary form, but old version <input type="checkbox"/> 5 = Standard form used, but wrong one <input type="checkbox"/> 6 = Non-standard form <input type="checkbox"/> 7 = No order found in the file ( <i>move to Question 21</i> )	
19. According to the TPR order, the provisions of ICWA:	<input type="checkbox"/> 1 = Do not apply <input type="checkbox"/> 2 = Have been followed <input type="checkbox"/> 3 = Nothing checked/indicated	
20. Was the order filed within 30 days?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't Determine	<i>Date filed:</i> _____ / _____ / _____



**Disposition for the Father**
☐ Same as above (*move to Question 31*).

21. Was a contested fact-finding hearing conducted in the TPR case (Phase 1)?	<input type="checkbox"/> 1 = Yes, to the court <input type="checkbox"/> 2 = Yes, to a jury <input type="checkbox"/> 3 = No <input type="checkbox"/> 4 = Can't Determine	
22. Date of dispositional hearing:	_____/_____/_____ <input type="checkbox"/> Case dismissed prior to disposition: _____/_____/_____ ( <i>move to Question 31</i> ) <input type="checkbox"/> Case still pending, hasn't occurred yet ( <i>move to Question 31</i> )	
23. Was notice of the dispositional hearing given/sent to the <b>mother</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (deceased, already TPR)	
24. Was notice of the dispositional hearing given/sent to the <b>father</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (deceased, already TPR, name of father unknown)	
25. Was notice of the dispositional hearing given/sent to the <b>caregiver</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A (group home, RTC, etc.)	
26. Was notice of the dispositional hearing given/sent to the <b>tribe</b> ?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine <input type="checkbox"/> 4 = N/A	
27. Did GAL for the child appear at the TPR disposition?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine	
28. Order concerning termination of parental rights used:	<input type="checkbox"/> 1 = Standard voluntary form ( <i>JC-1638, 09/02</i> ) <input type="checkbox"/> 2 = Standard vol. form, but old version <input type="checkbox"/> 3 = Standard involuntary form ( <i>JC-1639, 09/02</i> ) <input type="checkbox"/> 4 = Standard involuntary form, but old version <input type="checkbox"/> 5 = Standard form used, but wrong one <input type="checkbox"/> 6 = Non-standard form <input type="checkbox"/> 7 = No order found in the file ( <i>move to Question 31</i> )	
29. According to the TPR order, the provisions of ICWA:	<input type="checkbox"/> 1 = Do not apply <input type="checkbox"/> 2 = Have been followed <input type="checkbox"/> 3 = Nothing checked/indicated	

30. Was the order filed within 30 days?	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't Determine	<i>Date filed:</i> ____ / ____ / ____
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**Judicial Officers**

31. Number of different judicial officers that presided over the hearings in this TPR case:	<input type="checkbox"/> 1 = One <input type="checkbox"/> 2 = Two <input type="checkbox"/> 3 = Three or more	
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**Continuances/Delay**

32. Were there any continuance or delay issues/patterns that stood out in the TPR case? <i>(If yes, explain)</i>	<input type="checkbox"/> 1 = Yes <input type="checkbox"/> 2 = No <input type="checkbox"/> 3 = Can't determine	
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# CHILDREN'S COURT INITIATIVE

## COURT FILE REVIEW ICWA SUPPLEMENT

		Notes/Comments
1. Case Number:		
2. Child's Initials:		
3. Tribe(s):		
4. According to the petition, is the child subject to ICWA?	1 = Yes 2 = No 3 = Unascertainable/unknown 4 = Nothing checked/indicated	
5. According to the dispositional order, the provisions of ICWA:	1 = Do not apply 2 = Have been followed 3 = Nothing checked/indicated 4 = N/A (consent decree or hasn't gone to disposition)	
6. Did the mother have an attorney?	1 = Yes 2 = No 3 = Can't determine 4 = N/A (deceased, already TPR)	
7. Did the father have an attorney?	1 = Yes 2 = No 3 = Can't determine 4 = N/A (deceased, already TPR)	

### **CHIPS Case**

☐ Case being reviewed is not a CHIPS case (move to Question 23).

8. Was the tribe(s) or Secretary of Interior sent notice of the pending CHIPS proceeding?	1 = Yes 2 = No (move to Question 10) 3 = Can't determine	
9. If yes, was it sent via registered mail with return receipt?	1 = Yes 2 = No 3 = Can't determine	

10. Was a qualified expert witness used for a determination of damage to the child (at a pre-disposition hearing or the dispositional hearing)?	1 = Yes (Who? _____) 2 = No 3 = Can't determine (explain)	
11. Was there an <b>active</b> efforts finding made on the order?	1 = Yes 2 = No, but in the minutes 3 = No 4 = Can't Determine (order not in file)	
12. Were ICWA or tribal placement preferences used in determining placement?	1 = Yes 2 = No 3 = Can't Determine	
13. Was notice of the <b>plea hearing</b> given/sent to the tribe?	1 = Yes 2 = No 3 = Can't determine	
14. Was the notice sent at least 10 days prior to the plea hearing?	1 = Yes 2 = No 3 = Can't determine	
15. Was a representative from the tribe present at the plea hearing?	1 = Yes 2 = No 3 = Can't Determine	
16. Was notice of the <b>dispositional hearing</b> given/sent to the tribe?	1 = Yes 2 = No 3 = Can't determine 4 = N/A (move to Question 20)	
17. Was a representative from the tribe present at the dispositional hearing?	1 = Yes 2 = No 3 = Can't Determine	
18. Was notice of the most recent <b>change of placement hearing</b> given/sent to the tribe?	1 = Yes 2 = No 3 = Can't determine 4 = N/A (none occurred) (move to Question 20)	
19. Was a representative from the tribe present at the most recent change of placement hearing?	1 = Yes 2 = No 3 = Can't Determine	

20. Was notice of the most recent <b>permanency plan hearing</b> given/sent to the tribe?	1 = Yes 2 = No 3 = Can't determine 4 = N/A (none occurred) (move to Question 25)	
21. Was a representative from the tribe present at the most recent permanency plan hearing?	1 = Yes 2 = No 3 = Can't Determine	
22. Was there an <b>active</b> efforts finding made on the permanency plan hearing order?	1 = Yes 2 = No, but in the minutes 3 = No 4 = Can't Determine (order not in file)	

### TPR Case

☐ Case being reviewed is not a TPR case. Stop.

23. Was the tribe(s) or Secretary of Interior sent notice of the pending TPR proceeding?	1 = Yes 2 = No (move to Question 25) 3 = Can't determine	
24. If yes, was it sent via registered mail with return receipt?	1 = Yes 2 = No 3 = Can't determine	
25. Was a qualified expert witness used for a determination of damage to the child?	1 = Yes (Who? _____) 2 = No 3 = Can't determine (explain) 4 = N/A (termination of parental rights did not occur)	
26. Was notice of the <b>hearing on the petition</b> given/sent to the tribe?	1 = Yes 2 = No 3 = Can't determine	
27. Was the notice sent at least 10 days prior to the hearing on the petition?	1 = Yes 2 = No 3 = Can't determine	
28. Was a representative from the tribe present at the hearing on the petition?	1 = Yes 2 = No 3 = Can't Determine	

29. Was notice of the <b>dispositional hearing</b> given/sent to the tribe?	1 = Yes 2 = No 3 = Can't determine 4 = N/A (move to Question 31)	
30. Was a representative from the tribe present at the dispositional hearing?	1 = Yes 2 = No 3 = Can't Determine	
31. Was notice of the most recent <b>permanency plan hearing</b> given/sent to the tribe?	1 = Yes 2 = No 3 = Can't determine 4 = N/A (none occurred in the TPR case) (Stop.)	
32. Was a representative from the tribe present at the most recent permanency plan hearing?	1 = Yes 2 = No 3 = Can't Determine	
33. Was there an <b>active</b> efforts finding made on the permanency plan hearing order?	1 = Yes 2 = No, but in the minutes 3 = No 4 = Can't Determine (order not in file)	

# CHILDREN'S COURT INITIATIVE

## COURT OBSERVATION INSTRUMENT

### General Information

- |  |                                |
|--|--------------------------------|
| 1. Case No. _____                              | 5. Scheduled Start Time: _____ |
| 2. Date of Hearing _____                       | 6. Start Time: _____           |
| 3. Judge/Commissioner _____                    | 7. End Time: _____             |
| 4. Number sibling cases heard same time: _____ |                                |

### Type of Hearing

8. Check the box(es) that describes the observed hearing type:

- |   |   |
|---|---|
| Temporary Physical Custody <input type="checkbox"/> | Permanency Plan Hearing <input type="checkbox"/>                    |
| Plea Hearing (CHIPS) <input type="checkbox"/>       | Jury Trial/Fact-finding Hearing <input type="checkbox"/>            |
| Pretrial <input type="checkbox"/>                   | Court Trial/Fact-finding Hearing <input type="checkbox"/>           |
| Disposition <input type="checkbox"/>                | Hearing on the Petition (TPR and Adoption) <input type="checkbox"/> |
| Extension <input type="checkbox"/>                  | Review/Status _____ <input type="checkbox"/>                        |
| Revision <input type="checkbox"/>                   | Motion Hearing _____ <input type="checkbox"/>                       |
| Change of Placement <input type="checkbox"/>        | Other _____ <input type="checkbox"/>                                |

9. Is the actual hearing type different from the scheduled hearing type?

☐ Yes    ☐ No    ☐ Can't Determine

If yes, please explain: \_\_\_\_\_

10. Is the current hearing a continuation of a previous hearing? ☐ Yes    ☐ No    ☐ Can't Determine

If yes, please explain: \_\_\_\_\_

## Participation and Representation

11. Note which of the following persons were present at the hearing and if they were given the opportunity to participate:

	<u>Present</u>	<u>N/A</u>	<u>Participated</u>		<u>Present</u>	<u>N/A</u>	<u>Participated</u>
Prosecutor	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Mother	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Caseworker	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Mother's Attorney	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Child's Representative				Father	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GAL	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
Adversary Counsel	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
Child	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Father's Attorney	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Age _____							
Tribal Representative	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Caregiver	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Others: \_\_\_\_\_

12. If either the mother or father was not present, was there a discussion about his/her absence?

☐ Yes    ☐ No    ☐ N/A

Was there any discussion about identifying the father, his legal status, etc.? \_\_\_\_\_

13. If the caregiver was not present, was there a discussion about whether notice was given?

☐ Yes    ☐ No    ☐ N/A

14. Did the judicial officer acknowledge any written statement provided by the caregiver or give the caregiver an opportunity to make a statement during the hearing?

☐ Yes    ☐ No    ☐ N/A

15. Did the court advise any non-represented party of his/her right to counsel, including the right to court-appointed counsel, or provide information on obtaining counsel?

☐ Yes    ☐ No    ☐ N/A

16. Was any sworn testimony presented?    ☐ Yes    ☐ No

If yes, who called witnesses? \_\_\_\_\_

## ICWA

17. Was there a discussion about whether ICWA applies?

☐ Yes, and it applies    ☐ Yes, and it doesn't apply    ☐ No



### Placement and Findings

18. Is the child placed or being placed outside the parental home? ☐ Yes ☐ No ☐ Can't Determine  
(if No, move to Question 25)

19. Did the court make an oral finding that it is **contrary to the welfare** of the child to remain in the home?  
☐ Yes ☐ Yes, and it was detailed ☐ No ☐ N/A (finding not required at this hearing)

20. Did the court make an oral finding as to whether reasonable efforts were made to **prevent removal**?  
☐ Yes ☐ Yes, and it was detailed ☐ No ☐ N/A (finding not required at this hearing)

21. Did the court make an oral finding as to whether reasonable efforts were made **to achieve the goal(s) of the permanency plan**?  
☐ Yes ☐ Yes, and it was detailed ☐ No ☐ N/A (finding not required at this hearing)

22. Did the court give the parent(s) oral or written TPR warnings?  
☐ Yes ☐ No ☐ N/A (finding not required at this hearing)

23. Was there a discussion about attempts to locate/identify relatives for possible placement?  
☐ Yes ☐ No ☐ N/A (already placed with a relative, etc.)

24. Was there a discussion regarding visitation/family interaction?  
☐ Yes ☐ No ☐ N/A (explain:\_\_\_\_\_)

### Orders and Scheduling

25. Was the hearing continued? ☐ Yes ☐ No ☐ Can't Determine  
If yes, why?\_\_\_\_\_

26. Did the court schedule another hearing? ☐ Yes ☐ No ☐ N/A (none to schedule)  
If yes, date and type:\_\_\_\_\_

27. Did the parties receive a copy of the court order at the conclusion of the hearing?  
☐ Yes ☐ No ☐ Can't Determine ☐ N/A (none to give)

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_